CDBG
Public Water & Sewer
Infrastructure Co-Funding for
Municipalities

November 15, 2019
Genesee/Finger Lakes Regional Planning Council Local Government Workshop
Batavia, Genesee County, NY

OCR Programs

Federal

• NYS Community Development Block Grant (CDBG)
• HOME - Local Programs

How Does CDBG Work?
Eligible CDBG Activities

- Public Infrastructure
- Public Facilities
- Economic Development
- Small Business Assistance
- Microenterprise Development
- Housing Rehabilitation
- Manufactured housing replacement
- Homeownership
- Private Water/Wastewater Systems
- Community Planning
- Imminent Threat

Public Infrastructure

Improve infrastructure to low and moderate income areas

Typical activities:

- Public Water Systems
  - water source development, storage, and distribution
- Public Sanitary Sewer Systems
  - sanitary sewage collection and treatment
- Storm Water Systems
  - flood control and storm water drainage

Example Public Infrastructure

Village of Sharon Springs, Water and Wastewater
Jefferson County
Example Public Infrastructure

Village of Sharon Springs, Water and Wastewater
Schoharie County

Private Water/Wastewater Systems

Eligible activities:
• Drilling new private wells for drinking water
• Construction of new/replacement of existing septic systems
• Install lateral connections to public water or sewer
• Work inside a manufactured housing park may be eligible

Community Planning

• Preliminary Engineering for Water or Sewer
• Asset Management
• Community Needs Assessments
• Downtown Market Analysis
• Housing Needs Assessments
Applying for CDBG Funding

How to Apply for Funds

NYS Consolidated Funding Application (CFA)
- Public Infrastructure/Facilities (Annual)
- Microenterprise (Annual)
- Community Planning (Annual)
- Economic Development (Open Round)
- Small Business Assistance (Open Round)
- [http://regionalcouncils.ny.gov](http://regionalcouncils.ny.gov)

Eligible Applicants

Eligible Applicants:
- Units of Local Government with populations of less than 50,000 and
- Counties with populations of less than 200,000

Refer to the list of eligible communities located at: [http://www.nyshcr.org/Programs/NYS-CDBG/EligibleCommunities.htm](http://www.nyshcr.org/Programs/NYS-CDBG/EligibleCommunities.htm)
**Funding Limits - 2018**

**Public Infrastructure**
- Counties, Towns, Cities or Villages: $750,000
- With NYS Co-Funding Initiative: $1,000,000
- Shared Municipal Infrastructure: $900,000
- Shared Municipal Infrastructure with NYS Co-Funding Initiative: $1,250,000

**Administrative Funding Limits**
- Public Infrastructure, Facilities and Housing for Administration, Program Delivery, Engineering and Architecture: 18% maximum of the CDBG requested funds
- Microenterprise: Up to 25% of CDBG award in program delivery, administration, and training
- Economic Development/Small Business: maximum $16,000 of CDBG funds for Administration and Program Delivery.

**Scoring Criteria**
Applications competitively rated and scored on:
- Project feasibility, all financing in place
- Ability to implement immediately
- Ability to complete in 24-months
- Administrative capacity
- CDBG and administrative performance history, if any
- Severity of need, and impact on identified need
- A complete description of activity
- Public benefit and support for the proposed activity
- Reasonableness of project costs, including program delivery & administration
### Getting Ready to Apply

1. Collect data and evaluate local conditions/needs
2. Determine local priorities, choose projects, then determine funding to seek
3. Read the regulations & requirements
4. Evaluate local capacity to implement the project
5. Identify local partners
6. Identify available resources for project and complementary projects underway
7. Make sure current/previous funding is on schedule or closed satisfactorily
8. Compliance with NEPA

### Application Tips and Other Requirements

Eligible units of general local government may apply for NYS funding CDBG assistance
- The entire project is subject to NEPA, not just the CDBG funded portion of the project
- Don’t make choice limiting actions prior to award and approval of release of funds (NEPA)
- If project costs are incurred prior to completing NEPA, NYS CDBG funds will be deemed ineligible for reimbursement
- NEPA can be completed in anticipation of funding
- A notice of award or contract execution cannot occur until an approved release of funds has been submitted to the Recipient from OCR

Eligible units of general local government may apply for NYS funding CDBG assistance
- Use the correct application
- Use the submission checklist to ensure completeness
- Document citizen participation for public hearings
  - Minimum seven (7) day notice for legal ad
  - Date of publication is day zero (0)
  - Seventy-two hour (72) notice of posting
- Key deficiencies: failing to show administrative capacity, adequate funding, budget inconsistencies, readiness
Additional Information

CDBG Web Site

https://hcr.ny.gov/community-development-block-grant

HUD Exchange

www.hudexchange.info/programs/cdbg/

Source for:
- CDBG Rule: 24 CFR Part 570
- CPD Notices
- Basically CDBG manual/slides
- Guide to National Objectives and Eligible Activities for the CDBG Program
- CPD income calculator
- Toolkits
Questions

If you have any questions, please contact NYS OCR at ocrinfo@nyshcr.org

Charlie Philion, Program Director for Community Development
Charles.Phillion@nyshcr.org