



Homes and Community Renewal

## CDBG

### Public Water & Sewer Infrastructure Co-Funding for Municipalities

November 15, 2019  
Genesee/Finger Lakes Regional Planning Council Local Government Workshop  
Batavia, Genesee County, NY

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## OCR Programs

### Federal

- NYS Community Development Block Grant (CDBG)
- HOME - Local Programs



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## How Does CDBG Work?



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### Eligible CDBG Activities

- Public Infrastructure
- Public Facilities
- Economic Development
- Small Business Assistance
- Microenterprise Development
- Housing Rehabilitation
- Manufactured housing replacement
- Homeownership
- Private Water/Wastewater Systems
- Community Planning
- Imminent Threat



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### Public Infrastructure

Improve infrastructure to low and moderate income areas

Typical activities:

- Public Water Systems
  - water source development, storage, and distribution
- Public Sanitary Sewer Systems
  - sanitary sewage collection and treatment
- Storm Water Systems
  - flood control and storm water drainage



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### Example Public Infrastructure

Village of Sharon Springs, Water and Wastewater  
Jefferson County



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### Example Public Infrastructure

Village of Sharon Springs, Water and Wastewater  
Schoharie County



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### Private Water/Wastewater Systems

Eligible activities:

- Drilling new private wells for drinking water
- Construction of new/replacement of existing septic systems
- Install lateral connections to public water or sewer
- Work inside a manufactured housing park may be eligible



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### Community Planning

- Preliminary Engineering for Water or Sewer
- Asset Management
- Community Needs Assessments
- Downtown Market Analysis
- Housing Needs Assessments



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**Applying for CDBG Funding**



Homes and Community Renewal

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**How to Apply for Funds**

NYS Consolidated Funding Application (CFA)

- Public Infrastructure/Facilities (Annual)
- Microenterprise (Annual)
- Community Planning (Annual)
- Economic Development (Open Round)
- Small Business Assistance (Open Round)
- <http://regionalcouncils.ny.gov>



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**Eligible Applicants**

Eligible Applicants:

- Units of Local Government with populations of less than 50,000 and
- Counties with populations of less than 200,000

Refer to the list of eligible communities located at:  
<http://www.nyshcr.org/Programs/NYS-CDBG/EligibleCommunities.htm>



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## Funding Limits - 2018

### Public Infrastructure

- Counties, Towns, Cities or Villages \$750,000
- With NYS Co-Funding Initiative \$1,000,000
  
- Shared Municipal Infrastructure \$900,000
- Shared Municipal Infrastructure with NYS Co-Funding Initiative \$1,250,000



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## Administrative Funding Limits

- Public Infrastructure, Facilities and Housing for Administration, Program Delivery, Engineering and Architecture: 18% maximum of the CDBG requested funds
- Microenterprise: Up to 25% of CDBG award in program delivery, administration, and training
- Economic Development/Small Business: maximum \$16,000 of CDBG funds for Administration and Program Delivery.



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## Scoring Criteria

Applications competitively rated and scored on:

- Project feasibility, all financing in place
- Ability to implement immediately
- Ability to complete in 24-months
- Administrative capacity
- CDBG and administrative performance history, if any
- Severity of need, and impact on identified need
- A complete description of activity
- Public benefit and support for the proposed activity
- Reasonableness of project costs, including program delivery & administration



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### Getting Ready to Apply

1. Collect data and evaluate local conditions/needs
2. Determine local priorities, choose projects, then determine funding to seek
3. Read the regulations & requirements
4. Evaluate local capacity to implement the project
5. Identify local partners
6. Identify available resources for project and complementary projects underway
7. Make sure current/previous funding is on schedule or closed satisfactorily
8. Compliance with NEPA



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### Application Tips and Other Requirements

Eligible units of general local government may apply for NYS funding CDBG assistance

- The entire project is subject to NEPA, not just the CDBG funded portion of the project
- Don't make choice limiting actions prior to award and approval of release of funds (NEPA)
- If project costs are incurred prior to completing NEPA, NYS CDBG funds will be deemed ineligible for reimbursement
- NEPA can be completed in anticipation of funding
- A notice of award or contract execution cannot occur until an approved release of funds has been submitted to the Recipient from OCR



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### Application Tips and Other Requirements

Eligible units of general local government may apply for NYS funding CDBG assistance

- Use the correct application
- Use the submission checklist to ensure completeness
- Document citizen participation for public hearings
  - Minimum seven (7) day notice for legal ad
    - Date of publication is day zero (0)
  - Seventy-two hour (72) notice of posting
- Key deficiencies: failing to show administrative capacity, adequate funding, budget inconsistencies, readiness



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**Additional Information**




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**CDBG Web Site**

<https://hcr.ny.gov/community-development-block-grant>





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**HUD Exchange**

[www.hudexchange.info/programs/cdbg/](http://www.hudexchange.info/programs/cdbg/)

Source for:

- CDBG Rule: 24 CFR Part 570
- CPD Notices
- Basically CDBG manual/slides
- Guide to National Objectives and Eligible Activities for the CDBG Program
- CPD income calculator
- Toolkits





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**Questions**

If you have any questions, please contact NYS OCR at [ocrinfo@nyshcr.org](mailto:ocrinfo@nyshcr.org)

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